AF/2/22 PTO/SB/21 (08-03) (FA

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE tion Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/847,535 Filing Date 5/1/2001 TRANSMITTAL First Named Inventor **Barry Bond FORM** Group Art Unit 2123 (to be used for all correspondence after initial filing) **Examiner Name THOMAS H STEVENS** Attorney Docket Number MS1.0665US Total Number of Pages in This Submission ENCLOSURES (check all that apply) X After Allowance Communication Fee Transmittal Form Drawing(s) to Group Fee Attached Licensing-related Papers Appeal Communication to Board Petition of Appeals and Interferences Amendment / Reply Petition to Convert to a Appeal Communication to Group After Final **Provisional Application** (Appeal Notice, Brief, Reply Brief) Affidavits/declaration(s) Power of Attorney, Revocation **Proprietary Information** Change of Correspondence Extension of Time Request Status Letter Address Express Abandonment Request Other Enclosure(s) (please Terminal Disclaimer Information Disclosure Statement identify below): Request for Refund Form 1449, 4 References, Certified Copy of Priority CD, Number of CD(s) Return Postcard **Documents** Response to Missing Parts/ Incomplete Application Remarks Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Kłasey Ćhristie/Reg ∕No. 40559 Individual Name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Melissa Nelson Date Signature New

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (12-04) Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Effective on 12/08/2004. Complete if Known es pursuant to the Consolidated Appropriations Act. 2005 (H.R. 4818). **Application Number** 09/847,535 TRANSMI 5/1/2001 Filing Date For FY 2005 Barry Bond First Named Inventor THOMAS H STEVENS **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Art Unit 2123 TOTAL AMOUNT OF PAYMENT (\$) 180.00 Attorney Docket No. MS1 0665US **METHOD OF PAYMENT** (check all that apply) Check Credit Card Money Order None Other (please identify): 12-0769 Lee & Hayes, PLLC ✓ Deposit Account Deposit Account Number:_ Deposit Account Name: For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) ✓ Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card Information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES EXAMINATION FEES SEARCH FEES Small Entity** Small Entity **Small Entity Application Type** Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 250 200 100 Design 200 100 100 130 65 50 Plant 200 100 300 160 80 150 Reissue 300 150 500 250 600 300 200 Provisional 100 0 0 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) **Fee Description** Fee (\$) Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent 50 25 Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100 180 Multiple dependent claims 360 **Total Claims Multiple Dependent Claims Extra Claims** Fee (\$) Fee Paid (\$) - 20 or HP = 50 Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20 Extra Claims Indep. Claims Fee (\$) Fee Paid (\$) - 3 or HP = 200 HP = highest number of independent claims paid for, if greater than 3 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof **Total Sheets** Extra Sheets Fee (\$) Fee Paid (\$) (round up to a whole number) x - 100 = 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification \$130 fee (no small entity discount) Other: Information Disclosure Statement

SUBMITTED BY Registration No. Telephone (509) 324-9256 Signature 40559 (Attorney/Agent) Name (Print/Type) Kasey C. Øhristie Date

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No	09/847,535
Filing Date	
Confirmation No	4017
Inventorship	
Applicant	Microsoft Corporation
Group Art Unit	2123
Examiner	THOMAS H STEVENS
Attorney's Docket No.	
Title: Kernel Emulator for Non-Native Program Modules	

INFORMATION DISCLOSURE STATEMENT AND **CERTIFICATION UNDER 37 CFR 1.97(e)**

The citations listed, copies attached, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

I hereby certify that to my knowledge, after reasonable inquiry, no item of information contained in the accompanying PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application or was known to any individual designated in §1.56 (c) more than 3 months prior to the filing of this statement.

Respectfully Submitted

sev C. Christie eg. No. 40559

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LEE & HAYES, PLLC

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	09/847,535		
Filing Date	5/1/2001		
First Named Inventor	Barry Bond		
Art Unit	2123		
Examiner Name	THOMAS H STEVENS		
Attorney Docket Number	MS1 0665US		

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	_	^{US-} 5617554	4/1/1997	Alpert et al.	
		^{US-} 6,070,010	5/30/2000	Keenleyside et al.	
		^{US-} 6882968	4/19/2005	Linden, Randal N.	
		^{US-} 6901583	5/31/2005	Park, Collin Y.	
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	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	⊤°
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Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵Applicant is to place a check mark here if English language Translation is attached.

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